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**APPENDIX A**

**APPENDIX A**

**Checks Issued by Lincoln Management Group, LLC  
to Professional Services Management and  
Professional Services Exchange**

**(Premier Commercial Bank # 122243350; Bank of Orange County # 020217121)**

<b>Check #</b>	<b>Date</b>	<b>Amount</b>
1299	02/24/01	\$20,000.00
1026	06/18/01	\$5,450.00
1214	07/20/01	\$18,560.00
1196	07/24/01	\$1,000.00
1195	07/24/01	\$10,000.00
1204	07/25/01	\$13,000.00
1191	07/25/01	\$1,440.00
1190	07/25/01	\$18,660.00
1194	07/25/01	\$1,500.00
1215	07/26/01	\$10,000.00
1222	07/27/01	\$1,350.00
1225	07/27/01	\$5,000.00
1203	07/27/01	\$100.00
1179	07/27/01	\$2,000.00
1232	07/28/01	\$24,000.00
1260	08/01/01	\$10,000.00
1242	08/01/01	\$2,800.00
1283	08/02/01	\$1,000.00
1280	08/02/01	\$13,500.00
1261	08/02/01	\$7,400.00
1307	08/03/01	\$5,300.00
1315	08/03/01	\$5,000.00
1252	08/03/01	\$3,000.00
1304	08/04/01	\$14,000.00
1343	08/05/01	\$1,200.00
1281	08/05/01	\$4,700.00
1317	08/06/01	\$4,000.00
1347	08/07/01	\$4,300.00
1337	08/07/01	\$29,000.00
1323	08/08/01	\$9,700.00
1339	08/09/01	\$3,200.00
1364	08/10/01	\$9,000.00
1359	08/10/01	\$6,000.00
1354	08/10/01	\$4,700.00
1352	08/10/01	\$1,850.00

1	Check #	Date	Amount
2	1373	08/10/01	\$7,350.00
3	1365	08/10/01	\$14,000.00
4	1362	08/12/01	\$6,600.00
5	N/A	01/19/02	\$20,000.00
6	N/A	01/21/02	\$24,000.00
7	N/A	01/23/02	\$41,000.00
8	1020	01/27/02	\$60,500.00
9	1047	01/28/02	\$2,000.00
10	1104	02/02/02	\$1,000.00
11	1085	02/02/02	\$26,000.00
12	1105	02/04/02	\$30,500.00
13	1129	02/05/02	\$45,000.00
14	1140	02/07/02	\$20,000.00
15	1142	02/08/02	\$8,000.00
16	1147	02/08/02	\$26,400.00
17	1204	02/12/02	\$28,500.00
18	1239	02/13/02	\$25,000.00
19	1235	02/14/02	\$15,000.00
20	1240	02/14/02	\$20,000.00
21	1268	02/18/02	\$30,000.00
22	1280	02/20/02	\$27,800.00
23	1286	02/23/02	\$20,000.00
24	1304	02/26/02	\$42,000.00
25	1334	03/01/02	\$15,000.00
26	1370	03/05/02	\$4,000.00
27	1381	03/06/02	\$30,000.00
28	1121	03/06/02	\$23,000.00
	1433	03/12/02	\$30,000.00
	1446	03/13/02	\$33,500.00
	1449	03/14/02	\$30,000.00
	1462	03/15/02	\$15,000.00
	1466	03/16/02	\$2,000.00
	1508	03/19/02	\$30,000.00
	1223	03/23/02	\$20,000.00
	1563	03/24/02	\$15,000.00
	1493	03/25/02	\$25,000.00
	1588	03/26/02	\$20,000.00
	1577	03/26/02	\$15,000.00
	1605	03/29/02	\$50,000.00
	1641	04/01/02	\$10,000.00
	1648	04/02/02	\$20,000.00
	1681	04/06/02	\$15,000.00

1	Check #	Date	Amount
2	1690	04/07/02	\$15,000.00
3	1720	04/09/02	\$25,000.00
4	1736	04/11/02	\$10,000.00
5	1746	04/11/02	\$27,000.00
6	1769	04/12/02	\$15,000.00
7	1803	04/15/02	\$20,000.00
8	1814	04/16/02	\$10,000.00
9	1877	04/20/02	\$20,000.00
10	1867	04/20/02	\$30,000.00
11	1900	04/23/02	\$10,000.00
12	1894	04/23/02	\$10,000.00
13	1909	04/24/02	\$25,000.00
14	1919	04/25/02	\$50,000.00
15	1926	04/26/02	\$10,000.00
16	1963	04/30/02	\$15,000.00
17	2028	05/08/02	\$15,000.00
18	2016	05/08/02	\$25,000.00
19	2051	05/14/02	\$15,000.00
20	2093	05/16/02	\$30,000.00
21	2111	05/17/02	\$15,000.00
22	2138	05/20/02	\$10,000.00
23	2149	05/21/02	\$10,000.00
24	2185	05/24/02	\$20,000.00
25	2198	05/25/02	\$20,000.00
26	2241	06/04/02	\$10,000.00
27	2237	06/04/02	\$20,000.00
28	2249	06/06/02	\$10,000.00
	2253	06/07/02	\$30,000.00
	<b>TOTAL</b>		<b>\$1,736,860.00</b>

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**APPENDIX B**

## APPENDIX B

### Checks Issued by Professional Services Exchange and Professional Services Management to Certain Patient Recruiters

(Wells Fargo # 1420736900,0219386547; Bank of Orange County #010061245)

Check #	Date	Amount	Paid to Order of
2277	04/05/02	\$6,750.00	Beauty Enhancement Specialist Inc
2278	04/12/02	\$6,750.00	Beauty Enhancement Specialist Inc
2324	04/15/02	\$7,500.00	Beauty Enhancement Specialist Inc
2325	04/20/02	\$6,400.00	Beauty Enhancement Specialist Inc
2326	04/25/02	\$12,400.00	Beauty Enhancement Specialist Inc
<b>Total for Beauty Enhancement</b>			<b>\$39,800.00</b>
5246	06/28/01	\$4,320.00	Bina Park
5315	07/23/01	\$2,000.00	Bina Park
5335	07/26/01	\$5,460.00	Bina Park
5423	08/11/01	\$2,600.00	Bina Park
5427	08/13/01	\$7,350.00	Bina Park
<b>Total for Bina Park</b>			<b>\$21,730.00</b>
2218	03/12/02	\$2,500.00	Kim Pham
2127	02/21/02	\$6,250.00	Kim Pham
2317	04/10/02	\$5,000.00	Kim Pham
2318	04/10/02	\$5,000.00	Kim Pham
2271	04/06/02	\$5,000.00	Kim Pham
2270	03/31/02	\$5,000.00	Kim Pham
2128	02/21/02	\$2,500.00	Kim Pham
2049	01/26/02	\$3,750.00	Kim Pham
2412	05/03/02	\$2,500.00	Kim Pham
<b>Total for Kim Pham</b>			<b>\$37,500.00</b>
5336	07/27/01	\$2,500.00	Lynn Lavong
5262	07/03/01	\$2,000.00	Lynn Lavong
5407	08/04/01	\$2,500.00	Lynn Lavong
<b>Total for Lynn Lavong</b>			<b>\$7,000.00</b>
2247	03/18/02	\$2,000.00	Maria Rosales
2357	04/24/02	\$6,600.00	Maria Rosales
2470	05/20/02	\$3,125.00	Maria Rosales
2407	05/15/02	\$8,600.00	Maria Resales
<b>Total for Maria Rosales</b>			<b>\$20,325.00</b>
2515	06/04/02	\$4,000.00	Olga Toscano
2347	04/23/02	\$2,500.00	Olga Toscano
<b>Total for Olga Toscano</b>			<b>\$6,500.00</b>

Check #	Date	Amount	Paid to Order of
2449	05/15/02	\$3,000.00	Pancha Keophimphone
2414	05/10/02	\$3,500.00	Pancha Keophimphone
2369	04/29/02	\$7,500.00	Pancha Keophimphone
2198	03/06/02	\$4,000.00	Pancha Keophimphone
2137	02/23/02	\$13,000.00	Pancha Keophimphone
2164	02/26/02	\$8,000.00	Pancha Keophimphone
2252	03/21/02	\$4,500.00	Pancha Keophimphone
2408	05/03/02	\$4,500.00	Pancha Keophimphone
2344	04/23/02	\$5,000.00	Pancha Keophimphone
2321	04/20/02	\$7,000.00	Pancha Keophimphone
2481	06/05/02	\$7,000.00	Pancha Keophimphone
2437	06/04/02	\$6,750.00	Pancha Keophimphone
2491	05/29/02	\$9,000.00	Pancha Keophimphone
2029	01/25/02	\$5,000.00	Pancha Keophimphone
2028	01/25/02	\$6,500.00	Pancha Keophimphone
2204	04/06/02	\$6,500.00	Pancha Keophimphone
2296	04/01/02	\$3,000.00	Pancha Keophimphone
2230	03/30/02	\$7,500.00	Pancha Keophimphone
2468	05/20/02	\$4,500.00	Pancha Keophimphone
2275	03/29/02	\$4,000.00	Pancha Keophimphone
2264	03/25/02	\$3,000.00	Pancha Keophimphone
2205	03/26/02	\$8,500.00	Pancha Keophimphone
2231	03/23/02	\$7,500.00	Pancha Keophimphone
2435	05/11/02	\$4,500.00	Pancha Keophimphone
2065	01/29/02	\$3,500.00	Pancha Keophimphone
2371	05/13/02	\$6,750.00	Pancha Keophimphone
2031	01/29/02	\$9,000.00	Pancha Keophimphone
2027	01/25/02	\$3,000.00	Pancha Keophimphone
<b>Total for Pancha Keophimphone</b>			<b>\$165,500.00</b>
2210	04/03/02	\$6,000.00	Sue Nanda
2220	03/31/02	\$9,000.00	Sue Nanda
2209	03/27/02	\$6,000.00	Sue Nanda
2021	01/22/02	\$7,500.00	Sue Nanda
<b>Total for Sue Nanda</b>			<b>\$28,500.00</b>

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APPENDIX C



**APPENDIX C**

**Checks Issued by Lincoln Management Group  
to Thuy Huynh Recruiting Companies**  
(Bank of Orange County # 020217121,122237955;  
Premier Commercial Bank # 122243350)

Check #	Date	Amount	Paid to Order of
1803	09/23/01	\$5,000.00	T&T Medical Solutions
2422	11/19/01	\$3,500.00	T&T Medical Solutions
2510	12/04/01	\$14,000.00	T&T Medical Solutions
2701	12/20/01	\$20,000.00	T&T Medical Solutions
2702	12/24/01	\$15,000.00	T&T Medical Solutions
2755	12/26/01	\$16,500.00	T&T Medical Solutions
2759	12/30/01	\$16,000.00	T&T Medical Solutions
1505	03/19/02	\$25,000.00	T&T Medical Solutions
1506	03/26/02	\$26,000.00	T&T Medical Solutions
1582	03/27/02	\$14,000.00	T&T Medical Solutions
1581	03/27/02	\$15,000.00	T&T Medical Solutions
1888	04/23/02	\$20,000.00	T&T Medical Solutions
1889	04/30/02	\$20,000.00	T&T Medical Solutions
1968	05/01/02	\$20,200.00	T&T Medical Solutions
2160	05/20/02	\$25,000.00	Pleasant Services, Inc.
1105	06/01/02	\$25,000.00	T&T Medical Solutions
2161	06/03/02	\$21,300.00	Pleasant Services, Inc.
		<b>\$301,500.00</b>	

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**APPENDIX D**

## APPENDIX D

### Checks Issued by Newport Superior Management Group, LLC and Newport Superior Outpatient Medical Center to Thuy Huynh Recruiting Companies

(Downey Savings # 322270356; Bank of America # 0202205630; Union Bank of CA # 0670031721;  
Bank West # 322070381; Pacific Business Bank # 041036109;  
ChinaTrust Bank # 122210406, 01017403; First Continental Bank # 001153706)

Check #	Date	Amount	Paid to Order of
1789*	01/07/99	\$2,000.00	Trang Thu Thuy Mrkt Consultant
455†	04/15/99	\$6,000.00	Trang Thu Thuy
485†	04/20/99	\$2,000.00	Trang Thu Thuy
1063†	06/10/99	\$4,000.00	Trang Thu Thuy
1065†	06/11/99	\$5,000.00	Trang Thu Thuy
1068†	06/12/99	\$4,000.00	Trang Thu Thuy
1606	09/25/99	\$2,000.00	Trang Thu Thuy Mrkt Consultant
1599†	09/25/99	\$3,000.00	Trang Thu Thuy Mrkt Consultant
1788*	11/06/99	\$4,000.00	Trang Thu Thuy Mrkt Consultant
1045†	01/18/00	\$2,600.00	Trang Thu Thuy Mrkt Consultant
1073*	02/12/00	\$1,350.00	Trang Thu Thuy Mrkt Consultant
1122*	02/16/00	\$7,920.00	Trang Thu Thuy
4602*	02/22/00	\$8,000.00	TT Medical Solutions
1515*	03/31/00	\$1,000.00	Trang Thu Thuy Mrkt Consultant
1520*	03/31/00	\$2,000.00	Trang Thu Thuy Mrkt Consultant
1510*	03/31/00	\$2,000.00	Trang Thu Thuy Mrkt Consultant
1497	04/01/00	\$2,000.00	Trang Thu Thuy Mrkt Consultant
1542*	04/09/00	\$4,000.00	Trang Thu Thuy Mrkt Consultant
2690	07/31/00	\$1,000.00	T&T Medical Solutions
2706	08/03/00	\$1,000.00	T&T Medical Solutions
2891	08/23/00	\$2,000.00	T&T Medical Solutions
2888	08/23/00	\$2,000.00	T&T Medical Solutions
2899	08/24/00	\$2,200.00	T&T Medical Solutions
3112*	09/26/00	\$1,100.00	T&T Medical Solutions
3123*	10/03/00	\$1,300.00	T&T Medical Solutions
3174*	10/04/00	\$1,000.00	T&T Medical Solutions
3188*	10/05/00	\$4,000.00	T&T Medical Solutions
3206*	10/08/00	\$1,000.00	T&T Medical Solutions
3199*	10/08/00	\$5,000.00	T&T Medical Solutions
3229†	10/09/00	\$3,000.00	T&T Medical Solutions
3256*	10/11/00	\$2,200.00	T&T Medical Solutions
3280*	10/12/00	\$1,100.00	T&T Medical Solutions

\* Check signed by defendant Catherine Bach

† Check signed by defendant Lars Hanson

1	<b>Check #</b>	<b>Date</b>	<b>Amount</b>	<b>Paid to Order of</b>
2	3286*	10/14/00	\$1,100.00	T&T Medical Solutions
3	3307*	10/15/00	\$2,100.00	T&T Medical Solutions
4	3317*	10/16/00	\$2,100.00	T&T Medical Solutions
5	3330†	10/16/00	\$2,000.00	T&T Medical Solutions
6	3826*	12/07/00	\$2,000.00	T&T Medical Solutions
7	3868*	12/07/00	\$1,400.00	T&T Medical Solutions
8	3884*	12/08/00	\$2,000.00	T&T Medical Solutions
9	3850*	12/09/00	\$7,000.00	T&T Medical Solutions
10	3890*	12/11/00	\$2,000.00	T&T Medical Solutions
11	3871*	12/11/00	\$1,000.00	T&T Medical Solutions
12	3861*	12/11/00	\$5,000.00	T&T Medical Solutions
13	3949*	12/17/00	\$6,000.00	T&T Medical Solutions
14	3967†	12/20/00	\$2,000.00	T&T Medical Solutions
15	3960†	12/20/00	\$6,200.00	T&T Medical Solutions
16	4286*	01/22/01	\$2,000.00	T&T Medical Solutions
17	4271*	01/22/01	\$8,200.00	T&T Medical Solutions
18	4299*	01/24/01	\$6,000.00	T&T Medical Solutions
19	1122	04/26/01	\$2,300.00	T&T Medical Solutions
20	1471	01/16/02	\$6,400.00	Pleasant Services, Inc.
21	1363	06/25/02	\$7,600.00	Pleasant Services, Inc.
22	1380	06/30/02	\$6,500.00	Pleasant Services, Inc.
23	1497	07/23/02	\$4,000.00	Pleasant Services, Inc.
24	5521	07/29/02	\$4,600.00	Pleasant Services, Inc.
25	1525	08/02/02	\$2,500.00	Pleasant Services, Inc.
26	1579	08/08/02	\$1,000.00	Pleasant Services, Inc.
27	1314	10/15/02	\$4,420.00	Pleasant Services, Inc.
28	1061	01/11/03	\$2,500.00	Pleasant Services, Inc.
	1109	02/20/03	\$3,000.00	Pleasant Services, Inc.
			<b>\$193,690.00</b>	

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**APPENDIX E**

**APPENDIX E**

**Checks Issued by Healthstar Surgical Group  
to Pleasant Services, Inc.  
(Uniti Bank # 001301944)**

<b>Check #</b>	<b>Date</b>	<b>Amount</b>	<b>Paid to Order of</b>
1314	09/07/02	\$4,000.00	Pleasant Services, Inc.
1232	09/13/02	\$17,700.00	Pleasant Services, Inc.
1390	09/14/02	\$8,750.00	Pleasant Services, Inc.
1315	09/16/02	\$7,750.00	Pleasant Services, Inc.
1315	09/16/02	\$7,750.00	Pleasant Services, Inc.
1340	09/18/02	\$9,000.00	Pleasant Services, Inc.
1430	09/20/02	\$3,750.00	Pleasant Services, Inc.
1432	09/26/02	\$13,000.00	Pleasant Services, Inc.
1433	09/27/02	\$3,000.00	Pleasant Services, Inc.
1551	10/01/02	\$5,900.00	Pleasant Services, Inc.
1550	10/01/02	\$5,900.00	Pleasant Services, Inc.
		<b>\$86,500.00</b>	

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APPENDIX F

**APPENDIX F**

**Checks Issued by North Orange County Imaging  
to Pleasant Services, Inc. and Thuy Huynh  
(Bank West # 657001251; Uniti Bank # 001302157)**

Check #	Date	Amount	Paid to Order of
1005	10/03/02	\$2,000.00	Pleasant Services, Inc.
1141	10/15/02	\$13,250.00	Pleasant Services, Inc.
1517	01/25/03	\$2,500.00	Thuy Huynh
1553	02/08/03	\$3,750.00	Thuy Huynh
1167	03/23/03	\$3,500.00	Thuy Huynh
1196	05/23/03	\$5,000.00	Thuy Huynh
1257	06/12/03	\$2,300.00	Thuy Huynh
1276	06/13/03	\$2,800.00	Thuy Huynh
		<b>\$35,100.00</b>	



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**APPENDIX G**

**APPENDIX G**

**Checks Issued by Antioch Management Inc.  
to Thuy Huynh**

**(Cal. Nat'l Bank # 062058011; Citibank # 200411700)**

<b>Check #</b>	<b>Date</b>	<b>Amount</b>	<b>Paid to Order of</b>
13106	05/09/03	\$10,000.00	Thuy Huynh
1185	06/20/03	\$3,400.00	Thuy Huynh
1334	07/02/03	\$10,000.00	Thuy Huynh
1378	07/19/03	\$10,500.00	Thuy Huynh
1385	07/24/03	\$9,000.00	Thuy Huynh
		<b>\$42,900.00</b>	

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**APPENDIX H**



**APPENDIX H**

**Checks Issued by St. Paul Outpatient Surgical Center  
to Pleasant Services, Inc. and Thuy Huynh  
(Center Bank # 015301899, 001305366)**

<b>Check #</b>	<b>Date</b>	<b>Amount</b>	<b>Paid to Order of</b>
2419	10/01/03	\$3,500.00	Thuy Huynh
1226	11/05/03	\$2,800.00	Pleasant Services Inc
1394	11/13/03	\$3,500.00	Thuy Huynh
1600	12/02/03	\$1,400.00	Thuy Huynh
1597	12/02/03	\$2,300.00	Thuy Huynh
1648	12/10/03	\$5,900.00	Thuy Huynh
1713	12/16/03	\$2,800.00	Thuy Huynh
1773	12/22/03	\$3,500.00	Thuy Huynh
1512	02/07/04	\$5,600.00	Thuy Huynh
1279	02/19/04	\$8,000.00	Thuy Huynh
1279	02/19/04	\$8,000.00	Thuy Huynh
1707	02/24/04	\$4,500.00	Thuy Huynh
1771	03/05/04	\$2,800.00	Thuy Huynh
1802	03/10/04	\$3,500.00	Thuy Huynh
		<b>\$58,100.00</b>	

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APPENDIX I

APPENDIX I

**Checks Issued by Millennium to  
Pleasant Services, Inc.**

**(East West Bank # 81308975.322070381)**

Check #	Date	Amount	Paid to Order of
1509	04/15/02	\$4,500.00	Pleasant Services, Inc.
1511	04/30/02	\$4,500.00	Pleasant Services, Inc.
1512	05/15/02	\$4,500.00	Pleasant Services, Inc.
1626	05/31/02	\$4,500.00	Pleasant Services, Inc.
1717	06/15/02	\$4,500.00	Pleasant Services, Inc.
1710	06/30/02	\$4,500.00	Pleasant Services, Inc.
		<b>\$27,000.00</b>	

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**APPENDIX J**

**APPENDIX J**

**Checks Issued by POMMGI to T&J Medical Solutions**

**(Bank of America # 0760805778)**

<b>Check #</b>	<b>Date</b>	<b>Amount</b>	<b>Paid to Order of</b>
13644	08/07/01	\$34,800.00	T&T Medical Solutions
13935	09/26/01	\$19,400.00	T&T Medical Solutions
13936	09/26/01	\$9,300.00	T&T Medical Solutions
13937	09/26/01	\$2,400.00	T&T Medical Solutions
14202	11/15/01	\$4,700.00	T&T Medical Solutions
14203	11/26/01	\$3,800.00	T&T Medical Solutions
14280	11/30/01	\$23,700.00	T&T Medical Solutions
14281	11/30/01	\$2,500.00	T&T Medical Solutions
		<b>\$100,600.00</b>	



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**APPENDIX K**

# APPENDIX K

HIGHMARK -- OTHER ONYX EMPLOYEES				
Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
HI-17	12/21/02	Unity	Rosenberg	endoscopy
HI-17	12/22/02	Unity	Rosenberg	colonoscopy
HI-17	1/4/03	Unity	McKenna	sweaty palm surgery
HI-18	11/9/02	Unity	Kim	endoscopy
HI-18	11/10/02	Unity	N/A	N/A
HI-18	12/7/02	Unity	McKenna	sweaty palm surgery
HI-19	5/4/02	St. Francis	Rosenberg	endoscopy
HI-19	5/5/02	St. Francis	Rosenberg	colonoscopy
HI-19	10/25/02	N/A	Non-Defendant	sweaty palm surgery
HI-19	12/20/02	Unity	McKenna	sweaty palm surgery
HI-20	8/2/02	Non-Defendant	Rosenberg	endoscopy
HI-20	8/3/02	Non-Defendant	Rosenberg	colonoscopy
HI-20	9/14/02	Unity	N/A	sweaty palm surgery
HI-20	11/15/02	N/A	N/A	sweaty palm surgery
HI-21	2/8/03	Unity	Rosenberg	endoscopy
HI-21	2/9/03	Unity	Rosenberg	colonoscopy
HI-21	2/15/03	Unity	N/A	septoplasty
HI-22	4/5/03	Unity	Kim	endoscopy
HI-22	4/6/03	Unity	Rosenberg	colonoscopy
HI-22	4/19/03	Unity	White	septoplasty
HI-23	5/18/02	St. Francis	N/A	N/A
HI-23	5/29/02	St. Francis	N/A	N/A
HI-23	11/29/02	N/A	White	septoplasty
HI-24	10/19/02	Unity	Kim	endoscopy
HI-24	10/20/02	Unity	Rosenberg	colonoscopy
HI-24	11/30/02	Unity	N/A	circumcision
HI-24	4/19/03	Unity	N/A	septoplasty
HI-25	3/15/03	Unity	Rosenberg	endoscopy
HI-25	3/16/03	Unity	Rosenberg	colonoscopy
HI-25	3/22/03	Unity	Hampton	sweaty palm surgery
HI-26	3/14/03	N/A	Non-Defendant	sweaty palm surgery
HI-26	3/29/03	N/A	N/A	N/A
HI-27	9/28/02	Unity	Kim	endoscopy
HI-27	11/2/02	Unity	Non-Defendant	sweaty palm surgery
HI-28	11/9/02	N/A	Kim	endoscopy
HI-28	11/10/02	N/A	N/A	colonoscopy
HI-28	2/8/03	N/A	White	septoplasty
HI-29	1/14/03	Unity	Rosenberg	endoscopy
HI-30	5/27/02	Non-Defendant	Non-Defendant	sweaty palm surgery
HI-30	7/20/02	Non-Defendant	Chan	laparoscopy

Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
HI-30	9/7/02	Unity	Non-Defendant	sweaty palm surgery
HI-31	3/15/03	Unity	Hampton	sweaty palm surgery
HI-32	4/12/03	Unity	Rosenberg	endoscopy
HI-32	4/13/03	Unity	Rosenberg	colonoscopy
HI-33	4/12/03	Unity	Rosenberg	endoscopy
HI-33	4/13/03	Unity	Rosenberg	colonoscopy
HI-34	12/21/02	N/A	Rosenberg	endoscopy
HI-34	12/22/02	N/A	Rosenberg	colonoscopy
HI-35	5/4/02	N/A	Rosenberg	endoscopy
HI-35	5/27/02	N/A	Non-Defendant	sweaty palm surgery
HI-36	11/9/02	Unity	Non-Defendant	endoscopy
HI-36	11/10/02	Unity	N/A	N/A
HI-38	7/19/02	N/A	N/A	endoscopy
HI-38	8/3/02	N/A	N/A	N/A

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**APPENDIX L**

**APPENDIX L**

<b>TENNESSEE BLUE CROSS – MAGNETEK EMPLOYEE CLUSTER</b>				
<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
TN-10	10/05/01	Newport Superior	N/A	Upper GI Endoscopy
TN-10	10/07/01	Newport Superior	N/A	Colonoscopy
TN-10	10/20/01	Anaheim West	White	Septoplasty
TN-10	11/01/01	Anaheim West	Chun	Laparoscopy
TN-11	09/18/01	N/A	Non-Defendant	Upper GI Endoscopy
TN-11	09/20/01	Newport Superior	Rosenberg	Colonoscopy
TN-11	09/21/01	Anaheim West	Eugene	Sweaty Palm Surgery
TN-12	07/04/01	Anaheim West	Kim	Upper GI Endoscopy
TN-12	07/07/01	Anaheim West	Kim	Colonoscopy
TN-12	08/13/01	N/A	N/A	Colonoscopy
TN-12	11/10/01	Anaheim West	Eugene	Sweaty Palm Surgery
TN-13	07/21/01	Anaheim West	N/A	Upper GI Endoscopy
TN-13	07/26/01	Anaheim West	Alamy	Colonoscopy
TN-13	11/16/01	Anaheim West	Eugene	sweaty Palm Surgery
TN-13	12/08/01	Anaheim West	Eugene	Sweaty Palm Surgery
TN-14	06/08/01	Outpatient Surgery	N/A	Septoplasty
TN-14	07/14/01	N/A	Kim	Upper GI Endoscopy
TN-14	07/21/01	Anaheim West	Kim	Colonoscopy
TN-14	11/10/01	Anaheim West	Eugene	Sweaty Palm Surgery
TN-14	12/08/01	Anaheim West	Eugene	Sweaty Palm Surgery
TN-15	10/19/01	Anaheim West	Alamy	Upper GI Endoscopy
TN-15	10/20/01	N/A	Alamy	Colonoscopy
TN-15	12/09/01	Anaheim West	Eugene	Sweaty Palm Surgery
TN-15	12/27/01	Anaheim West	Eugene	Sweaty Palm Surgery

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**APPENDIX M**

# APPENDIX M

MICHIGAN BLUE CROSS – CALIFORNIA TEXTRON CLUSTER				
Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
MI-10 (Wife)	6/21/2001	Millennium	Halac	Upper GI Endoscopy
MI-10 (Wife)	6/30/2001	Millennium	Halac	Colonoscopy
MI-10 (Wife)	1/17/2002	Millennium	Chun	Laparoscopy
MI-11 (Husband)	4/11/2001	Non-Defendant	N/A	Nasal Endoscopy
MI-11 (Husband)	7/6/2001	Non-Defendant	N/A	Septoplasty
MI-11 (Husband)	2/3/2002	Millennium	Jigjinni	Upper GI Endoscopy
MI-11 (Husband)	5/3/2002	Millennium	Jigjinni	Colonoscopy
MI-15 (Husband)	12/16/2001	Millennium	Halac	Upper GI Endoscopy
MI-15 (Husband)	12/11/2001	Millennium	Halac	Colonoscopy
MI-15 (Husband)	12/21/2002	Unity	Rosenberg	Upper GI Endoscopy
MI-15 (Husband)	12/28/2002	Unity	Kim	Colonoscopy
MI-14 (Wife)	3/3/2001	Millennium	Jigjinni	Upper GI Endoscopy
MI-14 (Wife)	3/10/2001	Millennium	Jigjinni	Colonoscopy
MI-14 (Wife)	5/6/2002	Millennium	Chun	Laparoscopy
MI-14 (Wife)	5/16/2002	St. Francis	N/A	Blepharoplasty
MI-14 (Wife)	12/22/2002	Unity	Rosenberg	Upper GI Endoscopy
MI-14 (Wife)	12/22/2002	N/A	Kim	Colonoscopy
MI-16 (Husband)	12/14/2002	N/A	Rosenberg	Upper GI Endoscopy
MI-16 (Husband)	12/15/2002	N/A	Rosenberg	Colonoscopy
MI-16 (Husband)	12/19/2002	N/A	Non-Defendant	Male Genital
MI-17 (Wife)	12/16/2002	Unity	Kim	Upper GI Endoscopy
MI-17 (Wife)	12/17/2002	Unity	Rosenberg	Colonoscopy
MI-17 (Wife)	12/27/2002	N/A	N/A	Hemorrhoids
MI-18 (Wife)	8/4/2002	Millennium	Rosenberg	Upper GI Endoscopy
MI-18 (Wife)	8/11/2002	Millennium	Rosenberg	Colonoscopy
MI-18 (Wife)	9/6/2002	Millennium	Chun	Laparoscopy
MI-18 (Wife)	10/1/2002	Unity	Chan	D&C
MI-19 (Husband)	7/6/2002	Millennium	Alamy	Upper GI Endoscopy
MI-19 (Husband)	7/21/2002	Millennium	Alamy	Colonoscopy
MI-19 (Husband)	9/27/2002	Millennium	N/A	Septoplasty
MI-20 (Husband)	3/7/2002	Millennium	N/A	Remove Eye Growth
MI-21 (Wife)	3/23/2002	Millennium	Chun	Laparoscopy
MI-21 (Wife)	9/5/2002	Millennium	N/A	Eye Procedure
MI-22 (Wife)	11/10/2002	Millennium	Alamy	Upper GI Endoscopy
MI-22 (Wife)	12/27/2002	N/A	N/A	Remove Eye Growth
MI-23 (Husband)	10/6/2002	Millennium	Alamy	Upper GI Endoscopy
MI-24 (Mother)	5/11/2001	N/A	Alamy	Upper GI Endoscopy

	Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
1	MI-24 (Mother)	5/24/2001	Non-Defendant	N/A	Upper GI Endoscopy
2	MI-25 (Daughter)	5/24/2001	Non-Defendant	Alamy	Upper GI Endoscopy
3	MI-26 (Husband)	8/17/2002	N/A	Kim	Upper GI Endoscopy
4	MI-26 (Husband)	8/24/2002	N/A	Rosenberg	Colonoscopy
5	MI-38 (Wife)	10/28/2001	Millennium	Halac	Upper GI Endoscopy
6	MI-38 (Wife)	11/9/2001	Millennium	Halac	Colonoscopy
7	MI-38 (Wife)	11/26/2001	Millennium	Chun	Laparoscopy
8	MI-38 (Wife)	9/20/2002	Unity	White	Septoplasty
9	MI-38 (Wife)	12/13/2002	Unity	Rosenberg	Upper GI Endoscopy
10	MI-38 (Wife)	12/13/2002	Unity	N/A	Colonoscopy
11	MI-38 (Wife)	12/14/2002	Unity	Rosenberg	Colonoscopy
12	MI-27	8/4/2002	Millennium	Rosenberg	Upper GI Endoscopy
13	MI-27	8/11/2002	Millennium	Rosenberg	Colonoscopy
14	MI-27	9/6/2002	Millennium	Chun	Laparoscopy
15	MI-27	12/28/2002	Unity	Chan	Laparoscopy
16	MI-28	5/18/2002	Anaheim West	Rosenberg	Upper GI Endoscopy
17	MI-28	5/25/2002	Anaheim West	Kim	Colonoscopy
18	MI-28	8/27/2002	Millennium	Non-Defendant	Septoplasty
19	MI-28	9/14/2002	Millennium	Patel	Circumcision
20	MI-28	12/5/2002	Millennium	N/A	Tonsillectomy
21	MI-29	10/12/2002	Unity	Rosenberg	Upper GI Endoscopy
22	MI-29	10/21/2002	Unity	Rosenberg	Colonoscopy
23	MI-29	5/8/2007	St. Francis	Kim	Upper GI Endoscopy
24	MI-30	5/14/2002	St. Francis	Rosenberg	Colonoscopy
25	MI-30	7/23/2002	Millennium	Non-Defendant	Vein therapy
26	MI-31	11/10/2001	Millennium	Halac	Upper GI Endoscopy
27	MI-31	12/12/2001	Millennium	Halac	Colonoscopy
28	MI-31	12/24/2001	Anaheim West	N/A	Laparoscopy
29	MI-31	1/28/2002	Millennium	N/A	Bunion Surgery
30	MI-31	12/21/2002	Unity	Rosenberg	Upper GI Endoscopy
31	MI-31	12/28/2002	Unity	Kim	Colonoscopy
32	MI-31	12/30/2002	Unity	N/A	Laparoscopy
33	MI-32	9/14/2002	Unity	Rosenberg	Colonoscopy
34	MI-32	9/15/2002	Unity	Rosenberg	Upper GI Endoscopy
35	MI-32	12/5/2002	Millennium	N/A	Septoplasty
36	MI-33	4/28/2001	Millennium	Halac	Upper GI Endoscopy
37	MI-33	12/21/2002	N/A	N/A	Upper GI Endoscopy
38	MI-34	1/26/2002	Anaheim West	Chun	Laparoscopy
39	MI-34	2/19/2002	N/A	Chun	Hysterectomy
40	MI-34	6/18/2002	Millennium	Alamy	Upper GI Endoscopy
41	MI-34	6/23/2002	Millennium	Alamy	Colonoscopy



Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
MI-34	8/3/2002	Millennium	N/A	Blepharoplasty
MI-36	10/3/2002	N/A	Non-Defendant	Colonoscopy
MI-36	11/27/2002	Non-Defendant	Non-Defendant	Upper GI Endoscopy
MI-37	7/21/2001	Millennium	Alamy	Upper GI Endoscopy
MI-37	7/28/2001	Millennium	Alamy	Colonoscopy
MI-37	3/15/2002	Non-Defendant	N/A	Remove anal fistula
MI-39	3/20/2001	Millennium	Jiginni	Upper GI Endoscopy
MI-39	4/7/2001	Millennium	Jiginni	Colonoscopy
MI-40	1/23/2002	Millennium	Chun	Laparoscopy
MI-40	4/11/2002	Anaheim West	N/A	Revise upper eyelid
MI-40	6/18/2002	Millennium	Alamy	Upper GI Endoscopy
MI-41	3/19/2002	Millennium	Non-Defendant	Upper GI Endoscopy
MI-41	3/28/2002	Millennium	Non-Defendant	Colonoscopy
MI-41	4/23/2002	Millennium	Chun	Laparoscopy
MI-42	7/21/2002	Millennium	Alamy	Upper GI Endoscopy
MI-42	8/11/2002	Millennium	Alamy	Colonoscopy
MI-42	9/7/2002	Millennium	Chun	Laparoscopy
MI-43	5/16/2002	N/A	Rosenberg	Upper GI Endoscopy
MI-43	8/3/2002	Millennium	N/A	N/A
MI-43	9/12/2002	Unity	Rosenberg	Colonoscopy
MI-44	3/9/2001	N/A	Halac	Upper GI Endoscopy
MI-44	3/17/2001	Millennium	N/A	Upper GI En
MI-44	3/26/2001	Millennium	Halac	Colonoscopy
MI-44	11/29/2001	Anaheim West	Chun	Colonoscopy
MI-44	5/17/2002	Millennium	N/A	Bunion Surgery
MI-44	9/14/2002	Unity	Rosenberg	Upper GI Endoscopy
MI-44	9/15/2002	Unity	Rosenberg	Colonoscopy
MI-44	10/26/2002	N/A	Rosenberg	Hemorrhoidectomy
MI-45	11/2/2001	Millennium	Halac	Upper GI Endoscopy
MI-45	11/28/2001	Millennium	Halac	Colonoscopy

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**APPENDIX N**

**APPENDIX N**

<b>EMPIRE BLUE CROSS – PEPSI EMPLOYEE CLUSTER</b>				
<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
EM-1	7/12/03	Valley Multi-Specialty	McKenna	Sweaty Palms Surgery
EM-1	8/23/03	St. Paul	N/A	Bladder Procedure
EM-2	9/29/03	St. Paul	Rosenberg	Upper GI Endoscopy
EM-2	9/30/03	St. Paul	Rosenberg	Colonoscopy
EM-2	10/2/03	St. Paul	N/A	N/A
EM-2	10/4/03	St. Paul	McKenna	Sweaty Palm Surgery
EM-2	10/6/03	St. Paul	N/A	Sweaty Palm Surgery
EM-2	12/21/03	Non-Defendant	Rosenberg	Upper GI Endoscopy
EM-3	9/6/03	St. Paul	Non-Defendant	D&C
EM-3	10/4/03	St. Paul	McKenna	Sweaty Palm Surgery
EM-4	10/27/03	St. Paul	Rosenberg	Endoscopy
EM-4	10/28/03	St. Paul	Rosenberg	Colonoscopy
EM-4	11/1/03	St. Paul	McKenna	Sweaty Palm Surgery
EM-5	11/2/03	St. Paul	Rosenberg	Upper GI Endoscopy
EM-5	11/3/03	St. Paul	Rosenberg	Colonoscopy
EM-6	8/28/03	St. Paul	McKenna	Sweaty Palm Surgery
EM-6	11/26/03	St. Paul	N/A	N/A
EM-7	8/23/03	St. Paul	McKenna	Sweaty Palm Surgery
EM-7	11/1/03	St. Paul	N/A	Bladder Procedure

**APPENDIX O**

**APPENDIX O**

**EMPIRE BLUE CROSS -- FUJICARE EMPLOYEE CLUSTER**

<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
EM-9	12/7/02	Unity	N/A	Upper GI Endoscopy
EM-9	12/19/02	N/A	McKenna	Sweaty Palm Surgery
EM-10	12/7/02	Unity	N/A	Upper GI Endoscopy
EM-10	12/8/02	N/A	N/A	N/A
EM-10	12/19/02	N/A	McKenna	Sweaty Palm Surgery
EM-11	3/2/02	Millennium	N/A	N/A
EM-11	3/29/02	Millennium	Jiginni	Upper GI Endoscopy
EM-11	4/18/03	Unity	Rosenberg	Upper GI Endoscopy
EM-11	4/27/03	Valley Multi-Specialty	Rosenberg	Upper GI Endoscopy
EM-11	5/10/03	Valley Multi-Specialty	Rosenberg	Colonoscopy
EM-11	5/31/03	Valley Multi-Specialty	N/A	N/A

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**APPENDIX P**

**APPENDIX P**

<b>EXCELLUS BLUE CROSS - LINVATEC FLORIDA EMPLOYEE CLUSTER</b>				
<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Facility</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
EX-01	11/02/02	Unity	Non-Defendant	Upper GI Endoscopy
EX-01	11/03/02	Unity	Non-Defendant	Colonoscopy
EX-01	11/29/02	Unity	Non-Defendant	Sweaty Palm Surgery
EX-02	11/29/02	Unity	N/A	N/A
EX-02	11/30/02	Unity	McKenna	Sweaty Palm Surgery
EX-03	11/29/02	Unity	Rosenberg	Upper GI Endoscopy
EX-03	11/30/02	Unity	McKenna	Sweaty Palm Surgery
EX-04	11/29/02	Unity	White	Septoplasty

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**APPENDIX Q**



# APPENDIX Q

## EXCELLUS BLUE CROSS - - WELCH ALLYN EMPLOYEE CLUSTER

Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
EX-05	01/13/02	Pacific Outpatient	Non-Defendant	N/A
EX-05	01/20/02	Pacific Outpatient	Non-Defendant	Colonoscopy
EX-06	01/13/02	Pacific Outpatient	Rayyes	Upper GI Endoscopy
EX-06	01/20/02	Pacific Outpatient	Rayyes	Colonoscopy
EX-07	04/04/02	Pacific Outpatient	Rayyes	Upper GI Endoscopy
EX-07	05/19/02	Pacific Outpatient	Lluncor	Sigmoidoscopy
EX-08	02/10/02	Pacific Outpatient	Rayyes	Upper GI Endoscopy
EX-08	02/24/02	Pacific Outpatient	Rayyes	Lower GI Endoscopy
EX-09	02/10/02	Pacific Outpatient	Rayyes	Upper GI Endoscopy
EX-09	02/24/02	Pacific Outpatient	Rayyes	Lower GI Endoscopy
EX-10	02/16/02	Pacific Outpatient	Lluncor	Upper GI Endoscopy
EX-10	02/23/02	Pacific Outpatient	Lluncor	Colonoscopy
EX-11	01/08/02	Pacific Outpatient	Rayyes	Upper GI Endoscopy
EX-11	01/13/02	Pacific Outpatient	Rayyes	Colonoscopy
EX-12	05/11/02	Pacific Outpatient	Lluncor	Upper GI Endoscopy
EX-12	05/18/02	Pacific Outpatient	Lluncor	Colonoscopy
EX-12	08/16/02	Pacific Outpatient	Non-Defendant	Sweaty Palm Surgery
EX-13	07/13/02	Pacific Outpatient	Non-Defendant	Sweaty Palm Surgery
EX-14	05/04/02	Pacific Outpatient	Lluncor	Upper GI Endoscopy
EX-14	05/11/02	Pacific Outpatient	Lluncor	Colonoscopy
EX-15	03/15/03	Harbor Multi-Specialty	Govindarajan	Upper GI Endoscopy
EX-15	03/16/03	Harbor Multi-Specialty	Govindarajan	Colonoscopy
EX-15	03/19/03	Harbor Multi-Specialty	Non-Defendant	D&C

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**APPENDIX R**

**APPENDIX R****MASSACHUSETTS BLUE CROSS – MINNESOTA EMPLOYEE CLUSTER**

<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
0	5/24/00	Newport Superior	Babaknia	Upper GI Endoscopy
MA-01	5/25/00	Newport Superior	Non-Defendant	AP Repair
MA-02	5/16/01	Millennium	Non-Defendant	Colonoscopy
MA-02	5/18/01	Millennium	Non-Defendant	Upper GI Endoscopy
MA-02	4/16/02	Millennium	Non-Defendant	Removal of Hemorrhoids
MA-03	12/27/00	Millennium	Babaknia	Upper GI Endoscopy
MA-03	1/2/01	Millennium	Babaknia	Colonoscopy
MA-03	3/20/02	Anaheim West	Kim	Upper GI Endoscopy
MA-03	3/21/02	Anaheim West	Non-Defendant	Removal of Hemorrhoids
MA-03	3/22/02	Anaheim West	Chan	AP Repair
MA-03	6/1/02	N/A	White	Septoplasty
MA-04	12/14/01	Anaheim West	Alamy	Upper GI Endoscopy
MA-04	12/15/01	Anaheim West	Alamy	Colonoscopy
MA-04	12/17/01	Pacific Outpatient	Non-Defendant	Removal of Hemorrhoids

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**APPENDIXS**

**APPENDIX S**

<b>MASSACHUSETTS BLUE CROSS – WASHINGTON EMPLOYEE CLUSTER</b>				
<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
MA-05	8/21/01	Millennium	Chun	Vaginal Repair
MA-05	8/26/01	Millennium	Halac	Upper GI Endoscopy
MA-05	11/15/01	Millennium	Halac	Colonoscopy
MA-06	11/5/01	Millennium	Chun	Vaginal Repair
MA-06	11/16/01	Millennium	Halac	Upper GI Endoscopy
MA-06	8/5/02	Millennium	Chun	Laparoscopy; D&C
MA-07	2/28/02	Millennium	Non-Defendant	Colonoscopy
MA-07	3/2/02	Millennium	Non-Defendant	Removal of Hemorrhoids
MA-08	8/17/01	Millennium	Chun	Vaginal Repair
MA-08	8/28/01	Millennium	Halac	Upper GI Endoscopy
MA-09	1/14/02	Millennium	Chun	Vaginal Repair
0 9	1/26/02	Millennium	Non-Defendant	Sweaty Palm Surgery

**APPENDIX T**

# APPENDIX T

## PREMERA – HUSBAND AND WIFE PAIRS

Patient Code	Date of Procedure	Clinic	Surgeon	Fraudulently Billed Procedure
<b>Alaska - Husband and Wife</b>				
- 0	12/17/03	St. Paul	Rosenberg	Upper GI Endoscopy
PR-03	12/18/03	St. Paul	Rosenberg	Colonoscopy
PR-04	12/17/03	St. Paul	Non-Defendant	Upper GI Endoscopy
- 0	12/18/03	St. Paul	Rosenberg	Colonoscopy
<b>Arizona - Husband and Wife</b>				
PR-01	3/22/03	Unity	N/A	Upper GI Endoscopy
PR-01	3/23/03	Unity	Non-Defendant	Colonoscopy
PR-01	3/29/03	Unity	N/A	Sweaty Palm Surgery
PR-02	3/22/03	Unity	N/A	Upper GI Endoscopy
PR-02	3/23/03	Unity	N/A	Colonoscopy
PR-02	3/29/03	Unity	N/A	Sweaty Palm Surgery
<b>Washington - Husband and Wife</b>				
PR-05	7/31/03	St Paul	Rosenberg	Upper GI Endoscopy
PR-05	8/2/03	St Paul	McKenna	Sweaty Palm Surgery
PR-05	9/13/03	St Paul	Patel	Bladder Procedure
PR-06	9/12/03	St Paul	Non-Defendant	Upper GI Endoscopy
PR-06	9/13/03	St Paul	Non-Defendant	Colonoscopy
- 0 6	9/17/03	St Paul	Patel	Bladder Procedure

**APPENDIX U**



**APPENDIX U**

<b>PREMERA ALASKA - ASSOCIATION OF GENERAL CONTRACTORS</b>				
<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
PR-07	8/23/03	St. Paul	Rosenberg	Upper GI Endoscopy
PR-07	8/24/03	St. Paul	Rosenberg	Colonoscopy
PR-07	8/28/03	St. Paul	McKenna	Sweaty Palm Surgery
PR-07	10/22/03	St. Paul	Patel	Bladder Procedure
PR-08	11/22/03	St. Paul	Non-Defendant	Upper GI Endoscopy
PR-08	11/23/03	St. Paul	Rosenberg	Colonoscopy
PR-08	11/25/03	St. Paul	Non-Defendant	Colonoscopy
PR-08	12/4/03	St. Paul	Hampton	Sweaty Palm Surgery
PR-08	12/17/03	St. Paul	Patel	Bladder Procedure

APPENDIX V

**PPENDIX V**

**REGENCE PATIENTS**

<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
<b>OREGON HUSBAND AND WIFE</b>				
RE-1	10/06/02	Unity	Rosenberg	Upper GI Endoscopy
RE-1	10/07/02	Unity	Non-Defendant	Colonoscopy
RE-1	11/02/02	Unity	Non-Defendant	Removal of Hemorrhoids
RE-1	01/25/03	Unity	White	Septoplasty
RE-2	10/06/02	Unity	Rosenberg	Upper GI Endoscopy
RE-2	10/07/02	Unity	Non-Defendant	Colonoscopy
RE-2	11/02/02	Unity	Non-Defendant	Removal of Hemorrhoids
RE-2	12/20/02	Unity	McKenna	Sweaty Palm Surgery
RE-2	01/25/03	Unity	Chan	D&C

**LEATHERMAN TOOLS EMPLOYEES**

RE-3	03/08/03	Unity	N/A	Upper GI Endoscopy
RE-3	03/09/03	Unity	N/A	Colonoscopy
RE-3	03/30/03	Non-Defendant	N/A	Sweaty Palm Surgery
RE-3	04/19/03	Unity	N/A	D&C
RE-4	02/19/03	Millennium	Chun	D&C
RE-4	02/22/03	Harbor Multi-Specialty	N/A	Upper GI Endoscopy
RE-4	02/24/03	Harbor Multi-Specialty	N/A	Colonoscopy
RE-5	09/16/02	Millennium	Chun	Laparoscopy; D&C
RE-5	11/30/02	Premium	Non-Defendant	Removal of Hemorrhoids
RE-6	01/29/02	Millennium	Chun	Laparoscopy; D&C

**OREGON HEALTH SCIENCES UNIVERSITY EMPLOYEES**

RE-7	12/22/02	Unity	Rosenberg	Upper GI Endoscopy
RE-7	12/23/02	Unity	Rosenberg	Colonoscopy
RE-7	01/18/03	Unity	N/A	Removal of Hemorrhoids
RE-8	01/18/03	Unity	N/A	Upper GI Endoscopy
RE-8	01/19/03	Unity	N/A	Colonoscopy
RE-9	01/18/03	Unity	N/A	Upper GI Endoscopy
RE-9	01/19/03	Unity	N/A	Colonoscopy

APPENDIX W

**APPENDIX W**

**CAREFIRST BLUE CROSS PATIENTS**

<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
<b>Out Of State Patients</b>				
CD	11/25/03	St. Paul	Rosenberg	Upper GI Endoscopy Upper GI Endoscopy
CF-01	11/26/03	St. Paul	N/A	Laparotomy
CF-01	12/1/03	Harbor	Non-Defendant	Colonoscopy
CF-02	11/23/03	St. Paul	Rosenberg	Upper GI Endoscopy
CF-02	11/24/03	St. Paul	Rosenberg	Excision Large Intestine
CF-02	11/25/03	St. Paul	N/A	GI procedure
CF-02	11/26/03	St. Paul	N/A	Urogenital procedure
CF-03	4/23/01	Newport Superior	Rayyes	Colonoscopy
CF-03	4/25/01	Newport Superior	Rayyes	GI Endoscopy
C- 0	8/7/03	St. Paul	Rosenberg	N/A
CF-04	8/11/03	St. Paul	McKenna	Sweaty Palm Surgery
CF-05	10/18/03	St. Paul	Rosenberg	Upper GI Endoscopy
CF-05	10/19/03	St. Paul	Rosenberg	Colonoscopy
CF-05	10/23/03	St. Paul	Non-Defendant	AP Repair; D&C
CF-06	5/28/02	Anaheim West	K i i	Upper GI Endoscopy
CF-06	5/29/02	Anaheim West	Chan	Laparoscopy; D&C
CF-06	10/30/02	Non-Defendant	Non-Defendant	Septoplasty
CF-07	4/11/02	St. Francis	Non-Defendant	Upper GI Endoscopy
CF-07	4/12/02	St. Francis	Rosenberg	Colonoscopy

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2	<b>Vertis Employees</b>				
3	CF-08	3/1/03	Valley Multi-Specialty	Rosenberg	Upper GI Endoscopy
4	CF-08	3/14/03	Valley Multi-Specialty	McKenna	Sweaty Palm Surgery
5	CF-09	1/10/03	Non-Defendant	Non-Defendant	Sweaty Palm Surgery
6	CF-09	1/29/03	Valley Multi-Specialty	Rosenberg	Upper GI Endoscopy
7	CF-09	1/31/03	Valley Multi-Specialty	Rosenberg	Colonoscopy
8	CF-10	5/9/02	Anaheim West	Kim	Upper GI Endoscopy
9	CF-10	5/10/02	Anaheim West	Rosenberg	Colonoscopy
10	CF-11	3/21/03	Valley Multi-Specialty	Rosenberg	Upper GI Endoscopy
11	CF-11	3/22/03	Valley Multi-Specialty	Rosenberg	Colonoscopy
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## **APPENDIX X**

**APPENDIX X**

<b>NEBRASKA BLUE CROSS EMPLOYEE CLUSTERS</b>				
<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
<b>SWIFT EMPLOYEES</b>				
NE-1	1/9/01	Newport Superior	Babaknia	Upper GI Endoscopy
NE-1	1/12/01	Newport Superior	Babaknia	Colonoscopy
NE-1	1/13/01	Newport Superior	Nan-Defendant	Circumcision
NE-2	5/19/00	Newport Superior	N/A	Upper GI Endoscopy
<b>MILLARD EMPLOYEES</b>				
NE-3	7/9/00	Newport Superior	N/A	N/A
NE-3	7/16/00	Newport Superior	Babaknia	Colonoscopy
NE-3	8/12/00	Newport Superior	Non-Defendant	Removal of Hemorrhoids
NE-3	11/4/00	Newport Superior	on-Defendant	Septoplasty
NE-3	7/6/02	Millennium	Rosenberg	Upper GI Endoscopy
NE-3	8/24/02	Millennium	Rosenberg	Colonoscopy
NE-3	9/21/02	Millennium	N/A	N/A
NE-4	4/7/01	Newport Superior	Alamy	Upper GI Endoscopy
NE-4	4/15/01	Newport Superior	Alamy	Colonoscopy
5	4/15/01	Newport Superior	Alamy	Upper GI Endoscopy
NE-5	5/8/01	Newport Superior	Alamy	Colonoscopy



**APPENDIX Y**

**APPENDIX Y**

**NORTH CAROLINA BLUE CROSS PATIENTS**

<b>Patient Code</b>	<b>Date of Procedure</b>	<b>Clinic</b>	<b>Surgeon</b>	<b>Fraudulently Billed Procedure</b>
NC-1	03/15/03	Unity	Rosenberg	Upper GI Endoscopy
NC-1	03/16/03	Unity	Rosenberg	Colonoscopy
NC-2	08/29/03	St. Paul	Rosenberg	Upper GI Endoscopy
NC-2	09/02/03	St. Paul	Rosenberg	Colonoscopy
NC-2	09/05/03	St. Paul	Non-Defendant	Sweaty Palm Surgery
NC-3	11/08/03	St. Paul	Rosenberg	Upper GI Endoscopy
NC-3	11/09/03	St. Paul	Non-Defendant	Colonoscopy
NC-3	11/23/03	St. Paul	N/A	D&C
NC-4	11/22/03	St. Paul	Rosenberg	Upper GI Endoscopy
NC-4	11/23/03	N/A	Rosenberg	Colonoscopy
NC-4	11/26/03	St. Paul	Hampton	Sweaty Palm Surgery
NC-5	08/09/03	St. Paul	Non-Defendant	Upper GI Endoscopy
NC-5	08/17/03	St. Paul	Non-Defendant	Colonoscopy
NC-5	08/23/03	St. Paul	Non-Defendant	Sweaty Palm Surgery
NC-6	08/09/03	St. Paul	Non-Defendant	Upper GI Endoscopy
NC-6	08/11/03	St. Paul	Rosenberg	Colonoscopy